

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10729530
Filing Date		2003-12-05
First Named Inventor	Thomas F. Fakes	
Art Unit	2131	
Examiner Name		
Attorney Docket Number	MS1-1704US	

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6006326	A	1999-12-21	Drake	
	2	6119236	A	2000-09-12	Shipley	
	3	6173404	B1	2001-01-09	Colburn, ; et al.	
	4	6301668	B1	2001-10-09	Gleichauf, ; et al.	
	5	6408391	B1	2002-06-18	Huff, ; et al.	
	6	6530024	B1	2003-03-04	Proctor	
	7	6910135	B1	2005-06-21	Grainger	
	8	7010807	B1	2005-03-07	Yanovsky	

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U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20030204632	A1	2003-10-30	Willebeek-LeMair, Marc; et al.	
	2	20040015719	A1	2004-01-22	Lee, Dae-Hyung; et al.	
	3	20040084731	A1	2004-04-01	Nguyen, Timothy Thien-Kiem; et al.	
	4	20040260945	A1	2004-12-23	Raikar, Amit; et al.	
	5	20050125694	A1	2005-06-09	Fakes, Thomas F.; et al.	
	6	20060021002	A1	2006-01-26	Townsend, Steven; et al.	

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FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Balasubramanian, et al., "An Architecture for Intrusion Detection using Autonomous Agents", 14th IEEE Computer Security Applications Conference, December 1998, pp 12	<input type="checkbox"/>

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**INFORMATION DISCLOSURE
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Application Number	10728530
Filing Date	2003-12-05
First Named Inventor	Thomas F. Fakes
Art Unit	2131
Examiner Name	
Attorney Docket Number	MS1-1704US

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).


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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2006-12-21
Name/Print	Allan T. Sponseller	Registration Number	38318

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